

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/628,905
Filing Date	7/28/2003
First Named Inventor	Brooks
Group Art Unit	1645
Examiner Name	Tongue, Lakia J.
Attorney Docket Number	D-3108CIPCON

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)					
Fee Transmittal Form	Assignment Papers (for an Application)	After Allowance Communication to Group			
Fee Attached	Drawing(s)	Appeal Communication to Board of Appeals and Interferences			
Amendment/Reply	Licensing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
After Final	Petition	Proprietary Information			
Affidavits/declar	Petition to Convert to a Provisional Application	Status Letter			
Extension of Time Re	Revocation	Other Enclosure(s) (please identify below)			
Express Abandonmer Request	Change of Correspondence Terminal Disclaimer	Exhibits A and B w/ Amendment			
Information Disclosure Statement	Request for Refund				
Certified Copy of Prior Document(s)	rity CD, Number of CD(s) ———				
	Response to Missing Parts/ Incomplete Application Remarks				
Response to Missing Parts under 37 CFR 1.52 or 1.53					
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT					
Frank J. Uxa or Individual Name Frank J. Uxa Registration No. 25,612					
Signature Mandala					
Date 2/17/2005					
CERTIFICATE OF TRANSMISSION/MAILING					
I hereby certify that this correspondence is being facsimile transmitted to the USPTO at fax number 703-872-9306, or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.					
Typed or printed name	ped or printed name Janet E. McGhee				
Signature Date 2/17/2005					

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FEE TRANSMITTAL for FY 2005

	710000000			
for EV 200E	Filing Date	7/28/2003		
for FY 2005	First Named Inventor	Brooks		
Patent fees are subject to annual revision.	Examiner Name	Tongue, Lakia J.		
Application claims small entity status. See 37 CFR 1.27	Art Unit	1645		
OTAL AMOUNT OF PAYMENT (\$) 580.00	Attorney Docket No.	D-3108CIPCON		
THOD OF PAYMENT (check all that apply)				

Application Number

Complete if Known 10/628,905

Application claims	smail entity s	tatus. See 37 Ci	FR 1.27	Art Unit		1045		
TOTAL AMOUNT OF P	AYMENT	(\$) 580	0.00	Attorney Doo	ket No.	D-3108CIPC	ON	
METHOD OF PAYMENT (check all that apply)								
Check Credit Card Money Order Other (please identify):								
Deposit Account	Deposit a	Account Number	er <u>01-0</u>	<u>885</u> De	posit Account	Name	Frank J.	Uxa
For the above-identified depositi account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) associated with this Credit any overpayments WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
FEE CALCULATION								
1. BASIC FILING, SEAF	RCH, AND	EXAMINATION	N FEES					<u>,</u>
Application Type	FILIN	G FEES Small Entity Fee (\$)	SEARC	H FEES Small Entity Fee (\$)	EXAMINA Fee (\$)	TION FEES Small Entity Fee (\$)		Fees Paid (\$)
Utility	300	150	500	250	200	100		
Design	200	100	100	50	130	65		
Plant	200	100	300	150	160	80		
Reissue	300	150	500	250	600	300		
Provisional	200	100	0	0	0	0	-4-1 (4)	
2. EXCESS CLAIM FEE	9					Subto	otal (1)	
Fee Description	<u> </u>							Small Entity
Fee (\$) Each claim over 20 or , for Reissues, each claim over 20 and more than in the original patent Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent Multiple Dependent Claims Fee (\$) 50 200 Multiple Dependent Claims					Fee (\$) 25 100 180 pendent Claims			
-20 or HP = HP = highest number of total	claime paid f	X					Fee (\$)	Fee Paid (\$)
Indep. Claims	Extra Clain			aid (\$)			<u>0</u>	<u>0</u>
3 -3 or HP = 3 X 0 0 0 HP = highest number of independent claims paid for, if greater than 3 Subtotal (2) 0 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 shhets or fraction								
thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). <u>Extra</u> <u>Total Sheets</u> <u>Sheets</u> <u>Number of each additional 50 or fraction thereof</u> <u>Fee (\$)</u>				Fee Paid (\$)				
-100 =	/50=	(roun	d up to a whole	e number)		x	=	
							otal (3)	
4. OTHER FEE(S)								Fee Paid (\$)
□ Surcharge - Late filing fee or oath/declaration: \$130 fee (\$65 small entity discount) □ Non-English Specification: \$130 fee (no small entity discount)								
		· ·	-					
					450.			
☐ 3-month extension of time: \$1020 fee (\$510 small entity discount)								
4-month extension of time: \$1590 fee (\$795 small entity discount)								
5-month extension of time: \$2160 fee (\$1080 small entity discount)								
☐ Information Disclosure Statement Fee: \$180 fee (no small entity discount)								
Notice of Appeal: \$500 fee (\$250 small entity discount)								
Filing a Brief in Support of Appeal: \$500 fee (\$250 small entity discount)								
Request for Oral Hearing: \$1000 fee (\$500 small entity discount) Utility Issue Fee: \$1400 fee (\$700 small entity discount)								
☐ Utility Issue Fee: \$1400 fee (\$700 small entity discount) ☐ Recording each patent assignment per property (times number of properties): \$40 fee (no small entity fee discount)								
Request for Continued Examination: \$790 fee (\$395 small entity discount)								
					130.			
						Subto	otal (4)	<u>580.</u>
SUBMITTED BY								
Name (Print/Type)	Frank J. Ux	(2)	egistration No ttorney/Agen		25,612	Telephone	949-4	50-1750
Signature	ans	24/	/.			Date	2/17	7/2005



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Brooks, et al.	Examiner: Virginia Portner
Serial Number: Pending)	Art Unit: 1645
Filed: HEREIN	
For: BOTULINUM TOXIN COATED STENT	Irvine, California

TRANSMITTAL LETTER

Mail Stop: Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

EV295683064US

Sir/Madam:

Enclosed herewith are the following documents:

- (x) Transmittal Letter 3 pgs
- (x) Specification (42 pgs. total) 20 Claims (3 pgs.) Abstract (1 pg.)
- (x) Prelminary Amendment (8 pgs)
- () Drawings (0 sheets)
- (x) Copy of Declarations/Powers of Attorney G. Brooks and S. Donovan (4 pgs)
- (x) Copy of Assignments with Recordation Cover Sheet -G. Brooks and S. Donovan
- (x) Copy of Information Disclosure Statements w/ PTO-1449 Forms and PTO-848 Forms as filed in parent application(s).
- (x) Return/postage paid Postcard
- (x) Express Mail Certificate No. EV295683064US

This application claims priority to both of: continuation in part application Serial Number 10/114,740, filed April 1, 2002, which is a continuation in part of pending application Serial Number 09/371,354, filed August 10, 1999.

Date: July 28, 2003

STEPPEN DONOVAN Registration No. 33,433

CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. § 1.10

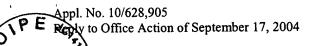
I hereby certify that this Transmittal Letter, the Continuation Patent Application, Preliminary Amendment and the attached documents referred to as enclosed herein are being deposited with the United States Postal Service on this date **July 28**, 2003 in an envelope as "Express Mail Post Office to Addressee" Mailing Label number EV295683064US addressed to Mail Stop: Patent Application, Commissioner for Patents, Alexandria, VA 22313-1450.

Susan Bartholomew

Name of person mailing paper

Signature of person mailing paper

Date: July 28, 2003



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : 10/628,905 Applicant : BROOKS et al. Confirmation No. 7440

Applicant : BROOKS et al. Filed : July 28, 2003

Title : BOTULINUM TOXIN ELUTING STENT

TC/A.U. : 1600/1645 Examiner : TONGUE, L.J.

Docket No. : 17310CIP1CON1(BOT); D3108-CIP1-CON1

Customer No. : 33197

CERTIFICATE OF MAILING

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2005

Alexandria, VA 22313-1450, on:

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Commissioner for Patents

P.O. Box 1450 Alexandria, VA 22313-1450

AMENDMENT AND

PETITION FOR A TWO-MONTH EXTENSION OF TIME

Sir:

This response is being submitted in reply to the Office Action of September 17, 2004. A response was due December 17, 2004. Applicant hereby petitions for a two-month extension of time. A response with a two-month extension of time is due February 17, 2005. The Commissioner is hereby authorized to charge the extension of time fee (\$450.00) to Deposit Account No. 01-0885. Accordingly, this response is being timely filed. In response to the Office Action, please amend the above-identified application as follows::

Amendments to the Specification begin on page 3 of this paper.

02/24/2005 MBELETE1 00000023 010885 10628905

01 FC:1252 450.00 DA

Appl. No. 10/628,905 Reply to Office Action of September 17, 2004

Amendments to the Claims are reflected in the listing of claims which begins on page 5 of this paper.

Remarks/Arguments begin on page 7 of this paper.